



## **Eu-SPRI Forum Annual Report 2010/2011**

The “European Forum for Studies of Policies for Research and Innovation”, Eu-SPRI Forum, aims to strengthen the vibrant but dispersed interdisciplinary community of researchers focusing on interdisciplinary dimensions related to policy and governance in the field of knowledge creation and innovation. Studies of Policies for Research and Innovation, SPRI is a growing research field since the 1960s, evolving at the encounter of economics, political science, sociology, Science and Technology Studies, STS, business administration, geography and history. The Eu-SPRI Forum was founded in Paris in June 2010 and has presently 14 member institutions.

## Organisation

The Eu-SPRI Forum is a not for profit association (French law); the association was founded in Paris in June 2010. Eu-SPRI Forum Members pay annual fee of 10.000 per academic year.

The Eu-SPRI Forum is governed by an Assembly of its full members meeting once a year and is managed by an Executive Committee (elected for the period 2010-12):

### Full members are:

- Université Paris-Est, Institut Francilien Recherche Innovation Société (IFRIS), founding member.
- Copenhagen Business School (CBS), founding member.
- University of Twente, Institute of Innovation and Governance Studies (IGS), founding member.
- Instituto de Gestión de la Innovación y el Conocimiento (INGENIO), Instituto de Políticas y Bienes Públicos (IPP), Instituto de Estudios Sociales Avanzados (IESA), CSIC, ), founding member.
- Universidad Autonoma de Madrid (UAM-Accenture Chair on Economics and Management of Innovation), founding member.
- Consiglio Nazionale delle Ricerche (CNR), CERIS Institute for Research on Firm and Growth, Rome, founding member.
- Politecnico di Milano, Dipartimento di Ingegneria Gestionale, founding member
- Consorzio Universitario in Ingegneria per la Qualita e l'Innovazione, QUINN, Pisa, founding member.
- AIT, Austrian Institute of Technology, founding member.
- University of Lund, Sweden, founding member.
- Manchester Institute of Innovation Research, MBS; University of Manchester, founding member.
- NIFU, Nordic Institute for Studies in Innovation, Research and Education, Norway (accepted on 27 October 2010), founding member.
- Fraunhofer Institute for Systems and Innovation Research, Karlsruhe, Germany, since academic year 2011/2012.
- VTT, Innovation Systems and Innovation Policy (in collaboration with) Helsinki Institute of Science and Technology Studies, HIST, accepted as member in 2010/2011 (accepted on 23 May 2011), since academic year 2011/2012.

### General Assembly

General assembly meeting was held on 8 June 2010.

### Executive Committee

President	Stefan Kuhlmann	University of Twente
Vice President	Emanuela Reale	CNR CERIS, Rome
	Susana Borrás	Copenhagen Business School
	Jakob Edler	Manchester Institute of Innovation Research, MBS; University of Manchester
	Philippe Larédo	IFRIS (Paris) and Manchester Institute of Innovation Research, MBS; University of Manchester
	Jordi Molas Gallart	INGENIO (CSIC-UPV)

**Eu-SPRI treasurer** is Philippe Larédo.

**Eu-SPRI Forum Secretariat** is managed by Marjatta Kempainen, University of Twente.

The Executive Committee held 12 meetings during the academic year 2010/2011.

## **Eu –SPRI Forum Activities**

### **Eu-SPRI Forum website**

Web site was set up and published in May 2011 (<http://www.euspri-forum.eu/>).

### **EU-SPRI-NEWS**

EU-SPRI-NEWS, a discussion list for Eu-SPRI Forum members was launched in August 2011.

### **Eu SPRI Forum Calls for proposals**

were prepared by Executive committee and published in 2010/2011 for:

- Eu-SPRI Forum PhD circulation awards 2010/2011 on 4 January 2011
- Early Career Research Conferences 2010/2011 and 2011/2012 on 4 January 2011
- Thematic Eu-SPRI PhD Schools 2011/2012 on 4 January 2011
- Bi annual conferences in 2012 and 2014 on 4 January 2011
- Exploratory initiatives 2011/2012 on 30 June 2011, admission deadline 30 September 2011.

### **Eu-SPRI Forum Circulation awards 2010/2011**

Selection committee consisted of 3 members: Kate Barker, Finn Hansson and Jordi Molas Gallart. 6 PhD circulation awards were given for 6 PhD's (see annex 1).

### **Eu-SPRI Early Career Researcher Conferences**

**ECRC** 'Challenges in Research and Innovation Policy Studies' was held at Manchester Institute of Innovation Research, Manchester Business School on 20-23 September 2011 (postponed from 28 – 30 June 2011). See Annex 6: report.

ExCo agreed to support in academic year 2010/2011 an **ECRC** 'University – New perspectives on enduring research questions in university society interaction?' at University of Twente on 9-11 May, 2012. (See annex 2).

ExCo agreed to support in academic year 2010/2011 an **ECRC** *Path-breaking innovation. Understanding, managing and providing support for continuous radical change in science and innovation at Politecnico Milano in June 27-29.2012*

### **Thematic Eu-SPRI PhD Schools**

ExCo agreed to support in academic year 2010/2011 a **PhD winter school** 'The Governance of Innovation: Expectations, Foresight and TA' will be organised by AIT and University of Twente in Vienna, in early 2012 (see annex 2).

### **Eu-SPRI Forum bi- annual conferences**

**The First Eu-SPRI bi-annual conference** on 28-29 October 2010 was hosted by the University of Twente (UT), the Netherlands, and co-sponsored by the UT's Institute of Innovation and Governance Studies, IGS. The theme of the conference was '*Tentative governance in emerging science and technology*'. The conference saw over 100 papers presented from 18 countries in six parallel strands over two days. The conference including a keynote presentation from our own Emeritus Professor Arie Rip (STePS), and from Professors Andrea Bonaccorsi (Pisa University), Richard Whitley (University of Manchester) and Roger Brownsword (University College, London). A poster competition, sponsored by *Research Policy*, involving around twenty submissions was judged by a jury including Arie Rip, Professor Susana Borrás (Copenhagen Business School) and Professor Susan Cozzens (Georgia Institute of Technology); the prize was awarded to Björn Budde from the Austrian Institute of Technology, Vienna (paper on "Networks of expectations: a conceptual framework to analyse expectations and visions using the multi-level perspective of transition theory"). The event was formally closed with a roundtable involving these keynote speakers, followed by the launch of a new book, "The Theory and Practice of Innovation Policy-An International Research Handbook", co-edited by Stefan Kuhlmann. A conference dinner at De Jaargetijden in the Volkspark in Enschede added to a convivial atmosphere and was conducive to the forging of new academic connections and sustaining existing scientific dialogues.

See conference programme, conference booklet and presentations: [http://www.euspri-forum.eu/archives/conferences/eu\\_spri\\_conference/](http://www.euspri-forum.eu/archives/conferences/eu_spri_conference/)).

A **2012 Eu-SPRI Conference** 'Towards Transformative Governance?' will be hosted by Fraunhofer ISI, Karlsruhe" on 12-13 June 2012.

**In Spring 2013** UAM Madrid and CSIC will host a **conference** on "Management of Innovation Policies" in Madrid, at CSIC headquarters.  
(See annex 2).

**Taking part in and contributing to major events by members**

Atlanta conference 2011; Jakob Edler and Jordi Molas Gallart presented the Eu-SPRI Forum.

**Financial Information 2010/2011**

Annex 3: Eu-SPRI Forum Register for Grants: First Bi-annual Eu-SPRI Forum conference in Enschede- The Netherlands

Annex 4: Financial Statement Eu-SPRI Forum Academic year 2010/ 2011

Annex 5: Rules for supporting Eu-SPRI events and activities

**Eu-SPRI PhD Circulation Awards**

April 2011

Name	Email	Dates of circulation	Duration	Home institute	Host Institute	EUR
Bruno Brandao Fischer	<a href="mailto:bruno.brandao.fischer@gmail.com">bruno.brandao.fischer@gmail.com</a>	1 Sept - 30 Nov 2011	3 months	Complutense de Madrid	MloIR	2000
Elke Weyer	<a href="mailto:e.weyer@utwente.nl">e.weyer@utwente.nl</a>	1 Oct - 30 Dec 2011	3 months	Uni of Twente	MloIR	2000
Inga Ulnicane-Ozolina	<a href="mailto:i.ulnicane-ozolina@utwente.nl">i.ulnicane-ozolina@utwente.nl</a>	1 Sept - 30 Dec 2011	4 months	Uni of Twente	MloIR	2000
Oihana Basilio	<a href="mailto:oihana.basilio@uam.es">oihana.basilio@uam.es</a>	15 Oct 2011 - 15 Feb 2012	4 months	Autonomus Uni of Madrid	Université Paris-Est	2000
José-Manuel Leceta-García	<a href="mailto:jmleceta@telefonica.net">jmleceta@telefonica.net</a>	30 May - 30 Sept 2011	4 months	Autonomus Uni of Madrid	Copenhagen Bus School	2000
Víctor Gómez Valenzuela	<a href="mailto:v.gomezval@gmail.com">v.gomezval@gmail.com</a>	15 Sept - 15 Dec 2011	3 months	Autonomus Uni of Madrid	MloIR	2000
					<b>Total</b>	<b>12000</b>

Annex 2

CONCEPT budgets for grants for 2011/2012 agreed by ExCo in 2010/2011

Name of grantee	<i>MBS-The University of Manchester</i>	<i>AIT/ -IGS UT</i>	<i>IGS-UT</i>	<i>ISI Frounhofer</i>	<i>Politecnico di Milano</i>	<i>UAM and Ingenio</i>	Total
<b>Contact person grantee</b>	Kate Barker	Dr. Mathias Weber AIT-/Dr. Kornelia Konrad - UT	Dr. Kasia Zalewska	Dr. Knut Koschatzky, Dr. Stephanie Daimer	Prof. Massimo Colombo	Prof. Paloma, Prof. Jordi Molas Gallart	
<b>Activity</b>	<i>ECRC: 'Challenges in Research and Innovation Policy Studies'</i>	<i>PhD winter school: 'The Governance of Innovation: Expectations, Foresight and TA'</i>	<i>ECRC conference: 'New perspectives on enduring research questions in university-society interaction? Towards an emerging multi-disciplinary research agenda for knowledge exchange and co-creation within science, research and innovation policy studies'</i>	<i>Eu-SPRI Forum Conference in 2013: 'Towards Transformative Governance? Responses to mission-oriented innovation policy paradigms'</i>	<i>ECRC conference: 'Path-breaking innovation, understanding, managing and providing support for continuous radical change'</i>	<i>Eu-SPRI Forum Conference in 2012: 'The management of Innovation Policies: new forms of collaboration in policy design, implementation and evaluation'</i>	
<b>Place of activity</b>	Manchester	Vienna	Enschede	Karlsruhe	Milan	Madrid	
<b>Date of activity</b>	20-23 September 2011	January 2012 (postponed to 15-17 March 2012)	May 2012 (date 9-11 May 2011)	12-13 June 2012	3-5 July 2012 (moved up to 27-29 June 2012)	Spring 2013	
<b>Grant EUR</b>	<b>17000</b>	<b>8300</b>	<b>19985</b>	<b>20000</b>	<b>14983</b>	<b>20000</b>	<b>100268</b>
<b>Banking information</b>							
<b>Concept budget for grant agreed by ExCo</b>	10 March 2010	24 May 2011	24 May 2011	28 June 2011	24 May 2011	28 June 2011	
<b>Justification of activities</b>						Final decision is not taken yet by organiser	

Annex 3

**Eu-SPRI Forum Register for Grants: First Bi-annual Eu-SPRI Forum conference in Enschede-  
The Netherlands**

<b>Number grant</b>	<b>1</b>
<b>Name of grantee</b>	University of Twente, IGS-STePS.
<b>Address of grantee</b>	P.O. Box 217, 7500 AE Enschede, the Netherlands
<b>Contact person grantee</b>	Prof.dr. Stefan Kuhlmann
<b>Activity</b>	Tentative Governance In Emerging Science and Technology Conference
<b>Place of activity</b>	the University of Twente, Enschede, The Netherlands
<b>Date of activity</b>	28-29 October 2010
<b>Grant EUR</b>	20.000
<b>Banking information</b>	Bank: ABN AMRO NV, IBAN Code: NL20 ABNA 0405 3384 65, Swift code: ABN ANL 2A,
<b>Grant agreed by ExCo</b>	10 March 2011
<b>Justification of activities</b>	Programme, list of participants, and presentations uploaded on Eu SPRI website,6 April 2011
<b>Payment order/date</b>	April 15, 2011
<b>Signature president</b>	
<b>Signature treasurer</b>	

## Financial Statement Eu-SPRI Forum

### Academic year 2010/ 2011

Date: 13.10.2011

Item	Budget 2010/2011	Income/ expenses in 2010/2011	Difference (income/expenses - budget)
<b>INCOME</b>			
Member fees*	120000	140.000	
<b>Total Income</b>	<b>120000</b>	<b>140.000</b>	20.000
<b>EXPENSES</b>			
Management support 15.000+ VAT	15000	17850	2.850
Website	5000	6222	1.222
ECRC	20000		-20.000
PhD schools, winter school	20000		-20.000
PhD circulation awards	10000	12000	2.000
PhD circulation (organisation of process)	5000		-5.000
Thematic working groups	5000		-5.000
Bi Annual Conference 2010/2011: Tentative Governance In Emerging Science and Technology Conference organised by IGS-UT	20000	20000	0
Banking costs		107	107
<b>Total Expenses</b>	<b>100000</b>	<b>56179</b>	-43.821

<b>RESULT</b>	<b>20000</b>	<b>83821</b>	63.821
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<b>Concept budgets for grants agreed by ExCo for academic year 2011/2012 in academic year 2010/2011, see annex 2</b>		<b>100268</b>	
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## Rules for supporting Eu-SPRI events and activities

### 1. Type of support

Eu-SPRI Forum offers support, only in the form of grants, for the organisation of events (Early Career Researcher Conferences/ECRC; PhD summer/winter schools; Eu-SPRI bi-annual Conferences; Exploratory Initiatives).

The grant is a lump sum earmarked for the effective completion of this activity.

The grant is fixed on the basis on an initial budget (see below).

Payment is made once the activity has taken place and is documented on the website of the Forum (<http://www.euspri-forum.eu/>; e.g. for conferences the programme, presentations made, results from panels and discussions, a synthesis of the main achievements of the event, for summer/winter schools the presentations of lecturers and summary of plenary events, for exploratory activities documentation of the activity and the actual output paper).

A final financial statement of the activity supported will have to be produced within 3 months of the payment.

### 2. Establishing a budget

We underline below 3 important principles that member organisations asking for support need to take into consideration.

a) The grant aims to cover basic costs incurred by the host. As budgets are limited, we encourage organisers to organise the events wherever opportunities exist to obtain cheaper accommodation. We wish to keep this approach in order to maximise the number of events supported.

In the case of ECRC and PhD Schools Eu-SPRI will cover accommodation and food including conference events. Participants should be accommodated at a cost of around €50 euros per night per person. This important since we intend to provide support for as many as possible participants as possible.

b) This principle applies only for early career researchers from member institutions. While we wish to keep these events open to all, there is a need to differentiate between Eu-SPRI members and non-members. The principle adopted is that non-members will not receive any financial support from the Eu-SPRI Forum. Non-member will have to cover all costs generated by their participation (including all meals); as an example this amounts to €300 per participant for the 2011 Doctoral conference in Manchester. The proposal may include a small number of bursaries for targeted audiences (e.g. early career researchers from non-OECD countries or from European new member states).

c) The Forum is a joint investment by our institutions to provide a European-level framework for our field. They in turn expect that we, as academics, invest our time and effort in making it productive. It would be difficult for them to accept that the colleagues we invite do not share the same objectives. These points have two very practical financial consequences: - in organising operations, account should be taken only of managerial costs incurred (not academic working time dedicated to the scientific aspects of the organisation of the event); - EU-SPRI Forum cannot pay honoraria for invited speakers and these should not be included in the budgets. Only travel and subsistence expenses should be considered as eligible costs, for selected Early Career researchers and participants of Exploratory Initiatives of EU-SPRI members, and for invited speakers.

As an example that applicant should feel free to follow, we attach the budget that has been submitted for the 2011 Early Career researcher Conference in Manchester.

### 3. Types of Resources

In addition to the Eu-SPRI grants, we expect that events will be able to use three types of resources:

\* Fees from participants (these for instance amounted for the 2010 Eu-SPRI-IGS conference to some 14.000 Euros).

\* In kind contributions made by the organisers (rooms, student time...)

\* Other external resources.

The consideration of all expected resources is central for deciding the amount of the grant to be offered. The ExCo trusts colleagues for the fact that these estimates are well prepared so that the Forum as a whole does the best use of its limited resources.



**Report on the Conference *Eu-SPRI PhD and Early Career  
Researcher Conference***  
***Challenges in Research and Innovation Policy Studies***

**for the EU-SPRI Management Committee**

**Manchester Institute of Innovation Research  
University of Manchester, UK**

20-23 September 2011

Academic Organisers: Kate Barker, Philippe Laredo and Finn Hansson

Administrative Support and Conference Management: Siobhan Drugan

The conference took the format of the previous PRIME Early Career Researchers conferences in being broad thematically. It also repeated the structure from before which we felt worked very well to give maximum opportunity for feedback and discussion between juniors and seniors, namely a single

track (no parallel sessions), plenty of time for each researcher to present and an allocated senior and junior discussant per paper. We allocated session chairs in order to keep the structure. We interspersed the papers with key note talks, which came from Jakob Edler, Finn Hansson and Stefan Kuhlmann, and a colleague from MIOIR led an interactive workshop on mentoring and support during the PhD and the early career phase. She has delivered this before, both in the UK and the USA and it provided the opportunity for the participants to reflect on these aspects of supervisory and institutional support and the different types of support needs as the junior researcher develops. The meals and social events were fairly informal.

Following the call for abstracts, we commented upon each abstract and offered suggestions for improvement. Each participant submitted a full paper in advance. Although the eventual group was small (see comment below), there was plenty of time for discussion and the quality of the comments and reflections on the papers was high – discussants had obviously taken the time to read the papers carefully.

In fact, the time for discussions was even greater than planned, since several participants could not come at the last minute. There was not a pattern to this – the individual circumstances were all different. In the end we heard 11 papers, but had expected 16.

Two MIOIR staff not normally associated with EU-SPRI took part – Dr Silvia Massini and Dr Sally Randles.

We can note that one participant – Bruno Fischer (PhD Madrid Programme) was already at MIOIR on an EU-SPRI-supported research stay from the first call, and Jose Miguel Natera (also Madrid) applied and was approved in the December 2011 call for mobility and will go to NIFU to develop his statistical analysis of the country data set.

## Conference Programme

### Rooms 10.04/10.05 Harold Hankins Building

#### Day 1 – Tuesday 20 September

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- 13.00 – 13.30 Lunch
- 13.30 – 13.45 Welcome and Opening by Professor **Edward Feser, Eddie Davis Chair in Enterprise and Innovation, MIOIR, Manchester Business School**
- 13.45 – 14.30 Keynote speech by **Prof Jakob Edler** “*Demand-based innovation policies*”

14.30 – 17.00 **Session 1, Chair: Philippe Larédo**      **3 PhD presentations**  
**Lasandahasi de Silva** - *The nature of academic entrepreneurial engagement in a resource constrained environment*

Discussant: Edward Feser

Discussant: Bruno Fischer

**Inga Ulnicane** - *European collaborators in their local organizational contexts: Influence of institute governance on international research collaboration*

Discussant: Kate Barker

Discussant: Kalle Stahl Nielsen

**Veiko Lember** - *Public procurement policy for innovation and technology*

Discussant: Jakob Edler

Discussant: Susana Thomas

17.00 – 18.30 Reception - Atrium Harold Hankins

#### Day 2 – Wednesday 21 September

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- 09.00 – 09.15 Coffee/Tea
- 9.15 - 10.00 Keynote speech by **Finn Hansson** - *Research evaluation, on challenges and consequences in assessing quality in research today*

10.00 - 12.30

**Session 2, Chair: Kate Barker**

**3 PhD presentations**

**Kalle Stahl Nielsen** - *Integration from the bottom up: the role of national funding agencies in the European Research Area*

Discussant; Effie Amanatidou

Discussant: Finn Hansson

**Dorothy Sutherland Olsen** – *The knowledge dynamics of interdisciplinary research in science-based technologies*

Discussant: Inga Ulnicane

Discussant: Philippe Larédo

**Celia Torrecillas Bautista presented by Bruno Fischer** - *The Technological Gravity of FDI flows in Knowledge-Intensive Services: a Regional Innovation System perspective for Spain*

Discussant: Jose Miguel Natera

Discussant: Silvia Massini

12.30 – 13.30

Lunch

13.30 – 17.00

**Session 3, Chair: tbc 4 PhD presentations**

**Jose Miguel Natera** - *The Dynamics of National Innovation Systems: a panel cointegration analysis of the co-evolution between Innovative Capability and Absorptive Capacity*

Discussant: Omid Tehrani

Discussant: Silvia Massini

**Leonie Dendler** - *Effective sustainability product labelling: why, how and by whom to gain legitimacy?*

Discussant: Cristian Matti

Discussant: Finn Hanson

**Cristian Matti** - *Regional networks and environmental governance: the case of sustainable construction in Spain*

Discussant: Jens Hanson

Discussant: Paul Dewick

**COULD NOT COME AT LAST MINUTE Jens Hanson** - *Can markets function as learning arenas? Feed-in tariffs, technological innovation systems and energy transition*

Discussant: Leonie Dendler

Discussant: Paul Dewick

17.30

Leave for Conference Dinner – Manchester

### **Day 3 – Thursday 22 September**

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09.00 – 09.15

Coffee/Tea

9.15 - 10.00

Keynote speech by **Prof Stefan Kuhlmann** - *Innovation Policy in Late Industrialising Countries – Understanding the Driving Forces*

10.00 - 12.30

**Session 4, Chair: Finn Hansson**      **4 presentations**

**Bruno Fischer** - *A Contribution to the Debate on International R&D Cooperation Policies in Europe: An evaluation of Spain's Participation in the Eureka Initiative*

Discussant: Veiko Lember

Discussant: Stefan Kuhlmann

**Effie Amantidou** - *Grand challenges – a new framework for foresight evaluation?*

Discussant: Dorothy Sutherland Olsen

Discussant: Stefan Kuhlmann

12.30 - 13.30 Lunch

13.30 – 15.00 **Dr Sally Randles MIOIR and Sustainable Consumption Institute**  
*Interactive workshop on mentoring and support during the early career stages*

15.00 – 16.00 **Session 5 – Chair: Kate Barker**  
**Round Table:** *Overall remarks, emerging themes and the future planning of EU-SPRI Forum PhD activities*

**Close of conference – final light refreshments and drinks**